

Memorandum



DATE September 14, 2007

TO The Honorable Mayor and Members of the City Council

SUBJECT City of Dallas Grant Activities Briefing

On Wednesday, September 19th, you will be briefed on City of Dallas Grant Activities. Attached are the briefing materials for your review prior to Wednesday's discussion.

Please contact me if you have any questions.



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City Manager

c: Deborah Watkins, City Secretary
Thomas P. Perkins, Jr., City Attorney
Craig Kinton, City Auditor
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City of Dallas Grant Activities

Briefing to the Dallas City Council

September 19, 2007

Office of Intergovernmental Services





Purpose of Briefing

To review the City's grant related activities including an overview of the role of the Office of Intergovernmental Services; the process for identification and solicitation of funding opportunities; how the City compares to other similar municipalities; examples of grants in the FY07-08 proposed budget; and opportunities for on-going improvement to secure grant funds for the City of Dallas.



Briefing Outline

Office of Intergovernmental Services (IGS) Overview

- Mission
- Services
 - Intergovernmental Affairs
 - Fund Development

Grant Activities

- Key Participants
- Process
- Benchmarking – How Does Dallas Compare?
- Grants from all Sources FY05 and FY06
- Grants in the FY07-08 Budget
- Continuous Improvement



Office of Intergovernmental Services (IGS) Overview

Mission

To advance the City's strategic priorities through developing intergovernmental partnerships and serving as the primary point of contact for the City to local, regional, state and federal levels of government and other independent agencies



Office of Intergovernmental Services (IGS) Overview

Intergovernmental Affairs:

- Serves as the primary point of contact between the City and other governmental entities and agencies
- Maintains a communication network of policy makers and organizations to influence legislative initiatives
- Provides research to the Mayor and City Council, the City Manager's Office, the City Attorney's Office, and City Departments on legislative matters pertinent to the City
- Coordinates with state and federal lobbyists to develop and implement the City's state and federal legislative agendas

Office of Intergovernmental Services (IGS) Overview

Fund Development:

- Serves as a centralized resource to City Departments and provides technical expertise for the City's grant seeking activities to ensure all funding requests link to City goals and to provide a coordinated effort on City funding requests
- Identifies potential public and private funding opportunities to maximize acquiring and leveraging outside resources
- Solicits funding for City programs and activities, public/private partnerships and non-profit agencies
- Develops and maintains relationships with funding agencies
- Tracks and reports on competitive grants received by the City



Key Participants in the Grant Process

- Mayor and City Council
- City Manager's Office (CMO)
- City Attorney's Office (CAO)
- Office of Intergovernmental Services (IGS)
- State and Federal Lobbyists
- Office of Financial Services (OFS)
- City Departments
- Local, State and Federal Elected Officials
- Program Partners
- Public and Private Funding Agencies



Types of Grants

Formula Grants

Allocations based on statistical data that indicates need or specific condition, such as the extent of poverty, population, inadequacy of housing supply, or number of cases of AIDS.

Competitive Grants

Government or private funds for which the City must compete against other agencies, including other cities, counties, non-profits, and faith based organizations.

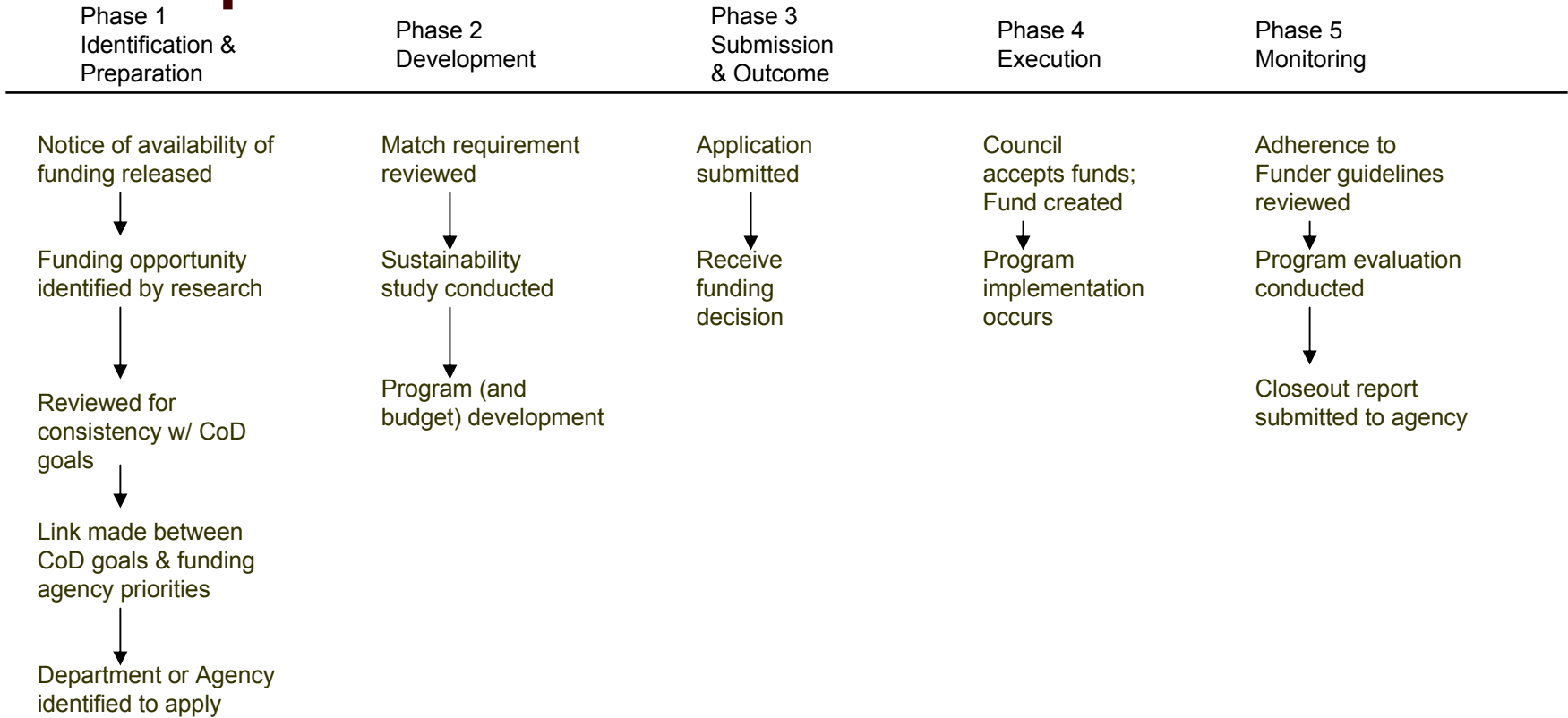
Combination Grants

A type of competitive grant. A formula is used to determine eligibility and then the City competes against other “eligible jurisdictions” for funds.

Appropriations Requests

Also a competitive grant. Funding identified in federal appropriations legislation by a Senator or Member of Congress for a specific purpose. Once awarded, these funds follow the federal department’s grant process.

Competitive Grant Process



Phase 1- Identification and Preparation

- Identify needs and funding opportunities
- Determine if needs and/or funding opportunities are consistent with City Council adopted strategic priorities and goals
- Determine if there is an appropriate link between the City's needs and the mission or area of interest of the funding agency
- Determine if the City is the best organization to undertake the program/service
- Coordination of solicitation to ensure that multiple City departments are not seeking funds from a single source at the same time

Key Participants: City Council, IGS, City Department, Funding Agency, State and Federal Lobbyists



Phase 2 - Development

- Determine in-kind/match requirement
- Determine the sustainability of the program/activity after grant funding ends
- Develop program plan and budget according to funding guidelines

Key Participants: IGS, City Department, Program Partners, CMO

Phase 3 - Submission and Outcome

- Submit application to funding agency
- Receive funding decision

If denied:

- inform City Department, Program Partners, and CMO of denial
- contact funding agency to seek reviewers' notes or other feedback on merits/weaknesses of proposal
- evaluate and consider resubmission

If approved:

- inform City Department, Program Partners, CMO, CAO, and OFS of award
- continue to Phase 4

Key Participants: IGS, City Department, Program Partners, Local, State and Federal Lobbyists, Funding Agency



Phase 4 - Execution

- Seek Council approval to accept funds including:
 - Establishing fund with OFS
 - Reviewing contracts with CAO
- Implement Program

Key Participants: City Council, City Department, CAO, CMO, OFS



Phase 5 - Monitoring

- Monitor to ensure compliance with funding guidelines – failure to comply with guidelines can jeopardize the City’s ability to receive continued or future funding
- Evaluate program
- Submit close out report to funding agency

Key Participants: City Department, OFS, Funding Agency

Benchmarking – How Does Dallas Compare to Other Cities?

To which cities are we comparing ourselves?

- Phoenix, San Antonio, San Diego, San Jose

Why did we choose these cities?

- These cities are comparable to Dallas as they are all close to 1 million in population with similar geography and governmental structure

How did we select the categories of funding?

- We used “major” federal funding sources, or only those programs funded at \$100 million or more for nationwide distribution

What do the numbers tell us?

- Dallas compared favorably to benchmark cities in federal grant funding secured for FY05 and FY06
- All Cities selectively pursued federal funding according to their priorities

Federal Funds Benchmarking FY05

	DALLAS	PHOENIX	SAN ANTONIO	SAN DIEGO	SAN JOSE
<u>Formula Grant Programs</u>					
CDBG	\$20,475,665	\$19,258,051	\$16,484,127	\$17,282,982	\$11,476,479
HOME	\$8,471,506	\$7,023,260	\$7,000,703	\$9,009,931	\$4,642,637
HOPWA	\$3,867,000	\$1,391,000	\$960,000	\$2,527,000	\$736,000
Emergency Shelter	\$774,810	\$743,320	\$635,638	\$667,873	\$444,240
Byrne Justice Assistance	\$2,393,527	\$1,845,696	\$1,117,297	\$957,751	\$750,048
<i>Total Formula</i>	\$35,982,508	\$30,261,327	\$26,197,765	\$30,445,537	\$18,049,404
<u>Major Competitive Grant Programs</u>					
EDA	\$0	\$0	\$3,300,000	\$0	\$2,000,000
Assistance to Firefighters	\$1,650,000	\$1,248,000	\$138,072	\$0	\$0
SAFER Firefighter Hiring	\$0	\$0	\$0	\$0	\$0
Urban Area Security Initiative	\$13,891,234	\$9,996,463	\$5,973,524	\$14,784,191	\$33,226,729
Lead Hazard Reduction	\$0	\$3,000,000	\$0	\$7,000,000	\$0
Homeless Assistance	\$11,354,501	\$20,043,200	\$5,477,622	\$8,301,608	\$9,360,889
<i>Total Competitive</i>	\$26,895,735	\$34,287,663	\$14,889,218	\$30,085,799	\$44,587,618
<u>Appropriations Grant Programs</u>					
EPA Discretionary	\$0	\$0	\$650,000	\$0	\$750,000
HUD EDI	\$644,000	\$150,000	\$0	\$0	\$169,750
Byrne Discretionary	\$0	\$0	\$0	\$500,000	\$0
COPS	\$350,000	\$500,000	\$0	\$0	\$0
Juvenile Justice	\$0	\$0	\$0	\$0	\$250,000
Army Corps of Engineers	\$13,450,000	\$14,478,000	\$1,625,000	\$0	\$0
Transportation Appropriations	\$14,550,000	\$2,500,000	\$1,300,000	\$6,450,000	\$500,000
<i>Total Appropriations</i>	\$28,994,000	\$17,628,000	\$3,575,000	\$6,950,000	\$1,669,750
Total FY2005	\$91,872,243	\$82,176,990	\$44,661,983	\$67,481,336	\$64,306,772

Data is from federal sources to assure common fiscal year information. "Major sources" are those programs funded at \$100 million or more at the federal level. Because city responsibilities and functions vary, programs in the following areas have not been included: transit, airports, public hospitals, job training and education.

Federal Funds Benchmarking FY06

	DALLAS	PHOENIX	SAN ANTONIO	SAN DIEGO	SAN JOSE
<u>Formula Grant Programs</u>					
CDBG	\$18,409,809	\$17,355,676	\$14,846,217	\$15,506,862	\$10,313,709
HOME	\$7,960,845	\$6,616,268	\$6,611,797	\$8,514,694	\$4,355,567
HOPWA	\$3,141,000	\$1,433,000	\$971,000	\$2,549,000	\$738,000
Emergency Shelter	\$770,245	\$740,699	\$634,008	\$664,740	\$441,400
Byrne Justice Assistance	\$1,404,761	\$1,022,169	\$638,843	\$574,893	\$396,632
<i>Total Formula</i>	\$31,686,660	\$27,167,812	\$23,701,865	\$27,810,189	\$16,245,308
<u>Major Competitive Grant Programs</u>					
EDA	\$0	\$0	\$0	\$0	\$0
Assistance to Firefighters	\$0	\$0	\$465,209	\$567,352	\$0
SAFER Firefighter Hiring	\$0	\$0	\$0	\$0	\$0
Urban Area Security Initiative	\$13,830,000	\$3,920,000	\$4,460,000	\$7,990,000	\$28,320,000
Lead Hazard Reduction	\$0	\$0	\$0	\$0	\$0
Homeless Assistance	\$11,820,908	\$20,126,941	\$5,614,659	\$7,786,557	\$9,345,250
<i>Total Competitive</i>	\$25,650,908	\$24,046,941	\$10,539,868	\$16,343,909	\$37,665,250
<u>Appropriations Grant Programs</u>					
EPA Discretionary	\$0	\$0	\$0	\$0	\$0
HUD EDI	\$350,000	\$0	\$0	\$0	\$150,000
Byrne Discretionary	\$0	\$0	\$0	\$200,000	\$400,000
COPS	\$300,000	\$500,000	\$0	\$100,000	\$0
Juvenile Justice	\$0	\$0	\$200,000	\$0	\$0
Army Corps of Engineers	\$12,050,000	\$8,230,000	\$2,730,000	\$0	\$0
Transportation Appropriations	\$0	\$700,000	\$2,500,000	\$1,000,000	\$0
SAFETEA-LU	\$100,200,000	\$13,600,000	\$13,500,000	\$55,040,000	\$28,600,000
<i>Total Appropriations</i>	\$112,900,000	\$23,030,000	\$18,930,000	\$56,340,000	\$29,150,000
Total FY2006	\$170,237,568	\$74,244,753	\$53,171,733	\$100,494,098	\$83,060,558

Data is from federal sources to assure common fiscal year information. "Major sources" are those programs funded at \$100 million or more at the federal level. Because city responsibilities and functions vary, programs in the following areas have not been included: transit, airports, public hospitals, job training and education.

City of Dallas Grant Funding from all Sources FY05 and FY06

	FY05	FY06
<u>Federal Grant Programs</u>		
Formula	\$35,982,508	\$31,686,660
Major Competitive	\$26,895,735	\$25,650,908
Appropriations	<u>\$28,994,000</u>	<u>\$112,900,000</u>
<i>Total Federal</i>	\$91,872,243	\$170,237,568
<u>Other Grant Programs</u>		
Minor Federal Competitive	\$4,771,424	\$5,251,992
State	\$1,224,161	\$2,006,727
Private	<u>\$1,711,000</u>	<u>\$17,612,500</u>
<i>Total Other</i>	\$7,706,585	\$24,871,219
Total	\$99,578,828	\$195,108,787

FY07-08 Budget Highlights

PUBLIC SAFETY

- \$4,000,000 - Fusion Center (Records Management System), Urban Area Security Initiative (UASI)
- \$500,000 - Upgrades to DPD's vehicle scheduling software, Justice Assistance Grant (JAG)
- \$450,000 – 45 thermal imagers, UASI
- \$600,000 – Equipment for high-rise firefighting, UASI
- \$300,000 – Hazmat satellite station, UASI
- \$400,000 – Communication equipment & training of Urban Search and Rescue (USAR) Team, UASI
- \$400,000 – Reverse 911 system, UASI
- \$200,000 – Community Emergency Response Team (CERT) trainings for approximately 20 teams, UASI



FY07-08 Budget Highlights

CLEAN, HEALTHY ENVIRONMENT

- \$855,000 - New Freedom Transportation Program for seniors and disabled individuals, Department of Transportation
- \$200,000 - Prisoner Reentry Initiatives, JAG
- \$200,000 – Dallas Sustainable Skylines Initiative, Environmental Protection Agency (EPA)
- \$560,000 - Community Courts Program, JAG

EDUCATION

- \$8,000,000 - Dallas Arts Learning Initiative (DALI), Wallace Foundation
- \$173,400 – Middle School After-School Programs in the Park & Recreation Department, Department of Education



FY07-08 Budget Highlights

ECONOMIC VIBRANCY

- \$150,000 –Farmer’s Market Renovations, Housing and Urban Development (HUD) Economic Development Initiative
- \$350,000 - Fair Park Entertainment District, HUD Economic Development Initiative

CULTURE, ARTS AND RECREATION

- \$75,000 - Children’s programming at the new North Park Public Library branch, Atmos Energy
- \$62,000 - Office of Cultural Affairs, Texas Commission on the Arts



Continuous Improvement

- Sponsoring a Process Improvement Pilot Project to evaluate and improve upon the fund development functions
- Conducting strategic planning with City Departments to address City Council established priorities through grant requests
- Focusing on a city-wide approach to grant requests by engaging several departments on coordinated grant applications to public and private funding sources



Briefing Summary

- The City of Dallas has a comprehensive strategic program to identify and secure grant funding from public and private sources
- The Mayor and City Council play an important role in the City's grant process
- Dallas continues to identify ways to improve seeking and securing grant funds